



04C0#2
02/14 01-03-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : January 10, 2001
L.E. Ullman Group Art No. Not assigned
Serial No. 09/740,088 Examiner: Not assigned
Filed: December 18, 2000 for IBM Corporation
Anne Vachon Dougherty
3173 Cedar Road
Yorktown Heights, NY 10598
Title: METHOD AND APPARATUS.
FOR DEFINING APPLICATION
SCOPE AND FOR ENSURING
FINITE GROWTH OF SCALED
DISTRIBUTED APPLICATIONS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed herewith are a "List of Prior Art Cited by Applicant" (PTO Form 1449) as required by 37 CFR 1.98(a)(1) and copies of the documents listed therein.

Referenced as AA is US Patent 5,838,970 by Thomas. It was dated 11/17/1998 and has a Class/Subclass of 395/683.

Referenced as AB is US Patent 5,390,282 by Koza, et al. It was dated 2/14/1995 and has a Class/Subclass of 395/13.

Referenced as AC is US Patent 4,965,882 by Barabash, et al. It was dated 10/23/1990 and has a Class/Subclass of 364/513.

Referenced as AD is US Patent 5,638,494 by Pinard, et al. It was dated 6/10/1997 and has a Class/Subclass of 395/60.

Referenced as AE is US Patent 5,918,018 by Gooderum, et al. It was dated 6/29/1999 and has a Class/Subclass of 395/200.55.

Referenced as AF is US Patent 5,832,487 by Olds, et al. It was dated 11/3/1998 and has a Class/Subclass of 707/10.

Referenced as AG is US Patent 5,704,041 by Allen, et al. It was dated 12/30/1997 and has a Class/Subclass of 395/200.15.

Referenced as AL is Foreign Patent PCT/US97/00273, dated 9 January 1997.

Referenced as AQ is Darrell D.E. Long and Jehan-Francois Paris article entitled "Regeneration Protocols for Replicated Objects."

Referenced as AR is a selected teaching from the book by G. Coulouris, et al entitled "Distributed Systems Concepts and Design".

Referenced at AS is the article by J.A. Davis entitled "Integrating communication and database services using intelligent internetwork gateways" of which only an abstract is available.

Reference AT is an IBM Technical Disclosure Bulletin entitled "Technique for Replicating Distributed Directory Information".

The documents are provided for the information of the Examiner. Provision of same is not meant to convey that a complete search has been conducted or that the enclosed represents all of the related patents in the subject area.

The Examiner is respectfully requested to consider the enclosed documents and indicate consideration thereof by initialing the enclosed PTO form 1449 and returning a copy of the initialed form to Applicant's undersigned attorney.

Respectfully submitted,

L.E. Ullman, et al

By: Anne Vachon Dougherty
Anne Vachon Dougherty
Registration No. 30,374
Tel. (914) 962-5910